



PATENT

Docket No. 220.00010150

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eric T. Kool) Group Art Unit: 1645
Serial No.: 09/997,931) Examiner: Unknown
Confirmation No.: 5355)
Filed: November 30, 2001)
For: CIRCULAR DNA VECTORS FOR SYNTHESIS OF RNA AND DNA

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application," enclosed is an executed Declaration by the named inventor. Please charge PTO Deposit Account No. 13-4895 in the amount of \$65 for the missing parts surcharge. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

CERTIFICATE UNDER 37 C.F.R. 1.10:

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231.

Gara Ladwig
Gara Ladwig

"Express Mail" mailing label number:
EL 888274366US

Date of Deposit: April 19, 2002

Respectfully submitted for

Eric T. Kool

By
Mueting, Raasch & Gebhardt, P.A.
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26813

PATENT TRADEMARK OFFICE

By:

Victoria A. Sandberg

Victoria A. Sandberg
Reg. No. 41,287
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April 19, 2002
Date

04-22-02 HH MP#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eric T. Kool

Group Art Unit: 1645 #af

Serial No.: 09/997,931

Examiner: Unknown

Filed: November 30, 2001

Docket No.: 220.00010150

Confirmation No.: 5355

Title: CIRCULAR DNA VECTORS FOR SYNTHESIS OF RNA AND DNA

Assistant Commissioner for Patents

Attn: Box Missing Parts

Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☐ A Petition for Extension of Time for __ month(s) and a check in the amount of \$__ for the required fee.
- ☐ An Information Disclosure Statement (__ pgs), copies of __ applications; 1449 forms (__ pgs); and copies of __ documents cited on the 1449 forms.
- ☒ Please charge Deposit Account No. 13-4895 in the amount of \$ 65.00 for the missing parts surcharge.
- ☐ A certified copy of a __ application, Serial No. __, filed ____, the right of priority of which is claimed under 35 U.S.C. §119.
- ☒ Other: (1) Communication Re: Missing Parts (1 pg); (2) a copy of the executed Declaration (3 pgs); (3) Supplemental Application Data Sheet (3pgs); Part B - Notice to File Missing Parts (1 pg).
- Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$42 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$140 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813



26813

PATENT TRADEMARK OFFICE

By: Victoria A. Sandberg

Name: Victoria A. Sandberg

Reg. No.: 41,287

Direct Dial: 612-305-1226

Facsimile: 612-305-1228

CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EL 888274366US US

Date of Deposit: April 19, 2002

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By: Gara Ladwig

Name: Gara Ladwig

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.10)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,931	11/30/2001	Eric T. Kool	220.00010150

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#4

CONFIRMATION NO. 5355

FORMALITIES LETTER



OC000000007518919

Date Mailed: 02/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/23/2002 SDENB081 00000049 134895 09997931

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